

02/09/01

02/09/01
U.S. PTO

02-12-01

A

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket Number 500585.20005

First Inventor or Application Identifier Thomas Fuhrmann

Title Light Waveguide With Integrated Input Aperture For An Optical Spectrometer

Express Mail Label No. EL 758808719 US

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27
3. ☒ Specification [Total Pages 13]
(preferred arrangement set forth below)
-Descriptive title of the Invention
-Cross References to Related Applications
-Statement Regarding Fed sponsored R & D
-Reference to sequence listing, a table, or computer program listing appendix
-Background of the Invention
-Brief Summary of the Invention
-Brief Description of the Drawings (if filed)
-Detailed Description
-Claim(s)
-Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 2]
5. ☒ Oath or Declaration [Total Pages 2]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see §§ 37 CFR §1.63(d)(2) and 1.33(b)
c. ☒ Unsigned
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. CD ROM or CD ROM in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-r (2 copies); or
ii. ☐ paper
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 USC 122(b)(2)(B)(i)
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ____

Prior application information: Examiner _____

Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

| | | | | | |
|---------|--------------------------------------|-----------|--------------|----------|--------------|
| NAME | Eugene LeDonne, Esq. | | | | |
| | Reed Smith LLP | | | | |
| ADDRESS | 375 Park Ave, 17 th Floor | | | | |
| CITY | New York | STATE | NY | ZIP CODE | 10152 |
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Name (Print/Type) Eugene LeDonne

Registration No. (Attorney/Agent)

35,930

Signature

Date February 9, 20001

FEE TRANSMITTAL for FY 2000

| | | | |
|----------------------|-----------------|---------------------|------------------|
| Application No. | Unknown | Filing Date: | February 9, 2001 |
| First Named Inventor | Thomas Fuhrmann | Group Art Unit: | Unknown |
| Examiner Name: | Unknown | Attorney Docket No. | 500585.20005 |

METHOD OF PAYMENT (Check one)

1. ☒ **Payment Enclosed:** ☒ Check ☐ Money Order ☐ Other

2. ☐ The Director is hereby authorized to charge indicated fees to:

2a. ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17 and credit any over payments to:

Deposit Account Number 50-1529

Deposit Account Name Reed Smith, LLP

FEE CALCULATION (fees effective 10/1/00)

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|------|--------------|------|------------------------|----------|
| Fee Code | (\$) | Fee Code | (\$) | | |
| 101 | 710 | 201 | 355 | Utility filing fee | 710 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$)710 |

2. EXTRA CLAIM FEES

| | **No. of Claims | | Extra Claims | Fee from Below | Fee Paid |
|---|-----------------|--------|--------------|----------------|----------|
| Total Claims | 16 | - 20 = | 0 | x 18 = | 0 |
| Independent Claims | 3 | - 3 = | 0 | x 80 = | 0 |
| <input checked="" type="checkbox"/> Multiple Dependent Claims | | | 0 | x 270 = | 0 |
| SUBTOTAL (2) | | | | | (\$) 0 |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|---|-----------------------|-----------------------|---|----------|
| 103 | 203 | 9 Claims in excess of 20 | 581 | 40 | Recording each patent assignment per property (times number of properties). | \$ 0 |
| 102 | 202 | 40 Independent claims in excess of 3 | | | | |
| 104 | 204 | 135 Multiple dependent claim | | | | |
| 109 | 209 | 40 Reissue independent claims over original patent | | | | |
| 110 | 210 | 9 Reissue claims in excess of 20 and over original patent | | | | \$ 0 |
| SUBTOTAL (3) | | | | | | \$ 0 |

SUBMITTED BY:

Typed or Printed Name Eugene LeDonne

Date: February 9, 2001

Complete (if applicable)

Signature

Reg. Number

35,930

Deposit Account User ID

50-1529

Certificate of Mailing by "Express Mail"


1c986 U.S. PRO
09/780265



EL 758808719 US
"Express Mail" label number

February 9, 2001
Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to the Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.



Signature of person mailing correspondence

Ruth Montalvo

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